

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: Neal Alexander Ritter

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FILE NO. 1100163

ORDER OF DISMISSAL

TO RESPONDENT: **Neal Alexander Ritter (Crd#: 5562965)**
 111 South 5th Avenueunit H
 St Charles, IL 60174

C/O Guggenheim Funds Distributors, INC.
Attn: Director of Compliance
2455 Corporate West Drive
Lisle, IL 60532

TO THE HEARING
OFFICER: **Soula J. Spyropoulos**
 4125 West Lunt Street
 Lincolnwood, Illinois 60712


WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 18, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 18, 2011 against the Respondent Neal Alexander Ritter in this matter is dismissed without prejudice.

Dated: This 17th day of May 2011.



JESSE WHITE
Secretary of State

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